

國立中興大學中國文學系博士班修讀辦法

91年1月10日第150次系務會議通過
91年4月18日第152次系務會議修正
93年2月25日第162次系務會議修正
93年12月1日第166次系務會議修正
94年9月14日第170次系務會議修正
96年5月2日第181次系務會議修正
97年11月12日第195次系務會議修正
100年7月14日第217次系務會議修正
102年4月17日第232次系務會議修正
103年9月10日第245次系務會議修正
104年1月14日第246次系務會議修正
112年5月10日第297次系務會議修正

一、本系為提昇博士班研究生之研究能力，使具備應有之學養，特依照大學法及其施行細則、學位授予法及學位施行細則、本校博士班章程、本校博士班博士候選人資格考核實施要點、本校博士學位考試細則等相關法規訂定本辦法。

二、修業年限：依本校博士班章程規定，博士班修業期限為二至七年。

三、畢業學分：

- (一) 畢業學分為 34 學分，其中必須包括：中國文化專題（必修）二學分、畢業論文十二學分，專業選修至少二十學分，至少六學分須為本系博士班課程，六學分經系主任核准後得修習外校外系博碩士班課程。
- (二) 非中文系畢業且未修得中文系必修課程八學分以上者，入學後須加選本系學士班或進修學士班學年制必修科目兩科；非中國文學相關研究所畢業者，入學後須額外加修本系碩士班課程至少八學分；上開課程不列入博士班畢業學分計算。（自 104 學年度起實施）
- (三) 凡本系碩士班畢業者，不得重複修習碩士班已修及格之科目；外校碩士班畢業者，不得重複修習原畢業學校碩士班已修及格之科目。
- (四) 研究生各科學業成績核計，以七十分為及格；不及格者不得補考，必修科目應令重修。

四、博士學位候選人資格考試之施行：

- (一) 博士班研究生於入學後第一學年結束前，須訂定研究方向，經系主任之同意，敦聘指導教授。未於規定期限內聘定指導教授者，應予勒令休學一學期。
- (二) 本系應針對博士生個人分別組識『博士學位候選人資格考核委員會』，負責該生之考核。委員會委員至少五人，指導教授擔任召集人，每學年至少應召開一次，負責指導研究生選課、研習等相關事項。
- (三) 研究生應於入學後第一學年結束前，擬定學位論文研習計劃，經指導教授同意後，送請系主任核可。
- (四) 研究生自修業之第二學年第二學期起，若修滿規定學分，且撰妥學位論文計畫大

網，經指導教授簽可，得申請參加博士學位候選人資格考試。經考核委員會三分之二(含)以上委員核可，始得參加博士學位候選人資格考試。

- (五) 研究生申請參加博士學位候選人資格考試，至遲應於修業第四學年第二學期結束前提出。
- (六) 資格考試為筆試一科，考試科目及其書目十本，由研究生與指導教授共同商議，再提請研究生之博士學位候選人資格考核委員會審定。
- (七) 資格考試命題閱卷工作，由學位考試委員會延聘兩位學者共同擔任，其中至少一人為校外委員。
- (八) 資格考試時間，訂於每年三月(或九月)十五日以前提出。
- (九) 博士學位候選人資格考試採筆試方式，成績以七十分為及格。
- (十) 博士候選人資格考試之申請，一學期以一次為限。考試不合格者，不得提出學位考試之申請。經重考一次仍不合格者，應予退學。

五、畢業論文初審：

- (一) 學位論文初審前，須先通過博士候選人資格考試，並發表兩篇學術論文。所發表之論文不得與博士論文內容重複，且其中一篇論文須發表於本系認可之刊物上，另一篇亦應為正式出版品。並參加六場研討會(其中三場擔任本系研討會工作人員)、八場人文範疇學術演講；旁聽五場碩博士學位考試、取得學術倫理教育修課證明。
- (二) 博士論文初稿完成，且經指導教授簽可後，始得申請參加畢業論文初審。
- (三) 本系學位考試委員會初審會議於每年四月及十一月舉行，研究生擬申請學位論文初審者，應於三月(或九月)十五日提出申請，並繳交論文初審本一式四份。
- (四) 學位論文初審採口試方式，口試委員共三人(含指導教授，校外學者至少一位)，其人選由本系學位考試委員共同商定。
- (五) 初審未獲通過者，如其修業年限尚未屆滿，得於次一學期或次一學年申請再審，次數不限，惟第二次起之審查費由學生自付；如其修業年限當學期即將屆滿而初審未通過者，得於一個月內申請再審。所有初審紀錄，均須存檔備查。

六、畢業論文考試：

- (一) 研究生擬申請畢業論文考試者，應於學位考試前一個月填具指導教授同意書二份，同時繳交論文七本。
- (二) 博士畢業論文考試採口試方式。口試委員人數為五至九位，其中校外委員須佔三分之一以上。口試委員人選，由指導教授推薦，經本系學位考試委員會核可後敦聘之。
- (三) 博士畢業論文考試成績以七十分為及格；不及格者得重考一次。
- (四) 畢業論文口試，須於考試前七日公告周知。口試時，應開放旁聽，並由考試委員會召集人事先指定專人詳實紀錄。口試委員評定成績時，旁聽人員應即離席。
- (五) 研究生通過畢業論文口試後，須針對口試委員之意見進行修訂。
- (六) 論文口試記錄由系所存檔，學位考試成績應填單送註冊組登錄。

七、離校手續：

- (一) 繳交修訂後之學位論文六本、論文全文電子檔一份。
- (二) 經指導教授、系主任簽可後，始得辦理離校手續。

八、本辦法經系務會議通過後實施，修正時亦同。

National Chung Hsing University

Department of Chinese Literature

Study Regulations for the Doctoral Program

Enacted in the 150th Department Affairs Meeting on Jan. 10th, 2002
Amended in the 152nd Department Affairs Meeting on Apr. 18th, 2002
Amended in the 162nd Department Affairs Meeting on Feb. 25th, 2004
Amended in the 166th Department Affairs Meeting on Dec. 1st, 2004
Amended in the 170th Department Affairs Meeting on Sep. 14th, 2005
Amended in the 181st Department Affairs Meeting on May 2nd, 2007
Amended in the 195th Department Affairs Meeting on Nov. 12th, 2008
Amended in the 217th Department Affairs Meeting on Jul. 14th, 2011
Amended in the 232nd Department Affairs Meeting on Apr. 17th, 2013
Amended in the 245th Department Affairs Meeting on Sep. 10th, 2014
Amended in the 246th Department Affairs Meeting on Jan. 14th, 2015
Amended in the 297th Department Affairs Meeting on May 10th, 2023

Article 1 To enhance the research capabilities of doctoral students and ensure they possess the necessary academic qualifications, the Department has formulated these regulations by the University Act and its enforcement rules, the Degree Conferral Act and its enforcement rules, the NCHU Doctoral Program Regulations, the NCHU Guidelines for Doctoral Candidacy Evaluation, and the NCHU Implementation Guidelines for Doctoral Degree Examination, and other relevant regulations.

Article 2 Duration of Study: According to the NCHU Doctoral Program Regulations, the doctoral program's study duration is between 2 and 7 years.

Article 3 Graduation Credits:

1. The graduation requirements are 34 credits, including 2 credits for "Special Topics: Chinese Culture" (required), 12 credits for Dissertation, at least 20 credits of professional electives, and at least 6 credits from the department's doctoral courses. A maximum of 6 credits may be taken from graduate courses offered by other departments or universities, subject to the approval of the Department Chair.
2. Students who are not graduates of a department related to Chinese literature and have not completed at least 8 credits of required courses from the department must take 2 required courses (full-year courses)

from the department's bachelor's program or continuing bachelor's program after enrollment. Those who are not graduates of a graduate institute related to Chinese literature must take at least an additional 8 credits of courses from the department's master's program after enrollment. These courses will not be counted toward the graduation credits for the doctoral program. (This policy will be implemented starting in the 2015 academic year.)

3. Graduates of our department's master's program may not retake courses they have already passed in the master's program, and graduates of other universities' master's programs may not retake courses they have already passed in their original master's program.
4. Graduate students' academic performance in each course is assessed with a passing grade 70. Students who fail are not allowed to retake the exam and must retake the required courses.

Article 4 Implementation of the Doctoral Candidacy Qualifying Examination:

1. Doctoral students must determine their research direction by the end of the first academic year after admission and appoint an advisor with the Department Chair's approval. If a student fails to nominate an advisor within the specified period, they must take a one-semester leave of absence.
2. The department shall establish a "Doctoral Candidacy Qualifying Examination Committee" for each doctoral student to oversee their evaluation. The committee must have at least five members, with the faculty advisor as the Chair. The committee shall convene at least once per academic year to guide the student on course selection, research, and other related matters.
3. Graduate students must draft a dissertation research plan by the end of their first academic year after admission. Their advisor must approve the plan, which they must submit to the Department Chair for final approval.
4. Starting from the second semester of the second academic year, graduate students who have completed the required credits and prepared a dissertation proposal approved by their advisor may apply to take the Doctoral Candidacy Qualifying Examination. Only students who receive approval from at least two-thirds of the committee members can participate in the Qualifying Examination.
5. Graduate students must apply for the Doctoral Candidacy Qualifying Examination no later than the end of the second semester of their fourth

academic year.

6. The Qualifying Examination consists of one written test. The exam subject and a list of ten reference books will be determined through consultation between the graduate student and their advisor and then submitted to the Doctoral Candidacy Qualifying Examination Committee for approval.
7. The Degree Examination Committee appoints two scholars, at least one of them an external member, to handle the questions and grading for the Qualifying Examination.
8. The Qualifying Examination must be scheduled and submitted by March 15 (or September 15) each year.
9. The Doctoral Candidacy Qualifying Examination is a written test with a passing grade of 70.
10. Doctoral Candidacy Qualifying Examination applications are limited to once per semester. Students who fail the examination are not eligible to apply for the degree examination. If a student fails the retake, they will be expelled.

Article 5 Preliminary Review of Dissertation:

1. Before the preliminary review of the dissertation, candidates must first pass the Doctoral Candidacy Qualifying Examination and publish 2 academic papers. The published papers must not overlap with the content of the doctoral dissertation. One of the papers must be published in a journal recognized by the department, and the other must be formally published. Additionally, candidates are required to participate in 6 academic conferences (including serving as staff for three conferences organized by the department), attend 8 academic lectures in the field of humanities, audit 5 master's or doctoral degree examinations (oral defense), and obtain a certificate of completion for Academic Research Ethics Education course.
2. The first draft of the doctoral dissertation must be completed and approved by the advisor before applying for the preliminary review of the dissertation.
3. The Department's Degree Examination Committee holds preliminary review meetings every April and November. Graduate students intending to apply for the preliminary review of their dissertation must submit their application by March 15 (or September 15) and provide 4 copies of the dissertation draft for review.

4. The preliminary review of the dissertation is conducted as an oral examination. The Oral Examination Committee comprises 3 members (including the advisor and at least one external scholar), and the Department's Degree Examination Committee jointly determines the members.
5. Suppose the preliminary review is not passed and the student's study period has not yet expired. In that case, they may apply for a re-review in the following semester or academic year, with no limit on the number of attempts. However, starting from the second attempt, the student shall bear the review fee. If the study period is about to expire in the current semester and the preliminary review is not passed, the student may apply for a re-review within one month. All preliminary review records must be archived for future reference.

Article 6 Dissertation Defense:

1. Graduate students intending to apply for the Dissertation Defense must submit 2 copies of the advisor's approval letter and provide 7 copies of their dissertation one month prior to the Dissertation Defense.
2. The Dissertation Defense is conducted as an oral examination. The Oral Examination Committee comprises 5 to 9 members, with at least one-third being external. The advisor nominates the committee members, who are appointed after approval by the Department's Degree Examination Committee.
3. The passing grade for the Dissertation Defense is 70 points. Students who do not pass may retake the examination once.
4. The Dissertation Oral Defense must be publicly announced 7 days before the exam. During the oral defense, the session must be open to auditors, and a designated person will take detailed minutes as assigned by the Chair of the Examination Committee. When the committee members evaluate the scores, auditors must leave the room.
5. After the graduate student passes the Dissertation Oral Defense, they must revise the dissertation based on the feedback provided by the Examination Committee.
6. The department archives the dissertation's oral defense records, and the degree examination scores must be submitted to the Division of Registration.

Article 7 School-Leaving Procedures:

1. The graduate student must submit 6 copies of the revised dissertation and one electronic copy of the entire dissertation.
2. School-leaving procedures can only be processed after obtaining the approval of the advisor and the Department Chair.

Article 8 These regulations shall be implemented after approval by the Department Affairs Meeting, and the same procedure applies when making revisions.

【英文譯本僅供參考，文義如與中文版有歧異，概以中文版為準。】

**** The English version is provided for reference only. ****

**** The Chinese version shall prevail in case of any discrepancies between the English and Chinese versions. ****